Docket No.: 21581-00240-US

(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Nishiyama et al.

Reissue Application No. Not Assigned

Patent No.: 6,340,767 B1

Filed: Herewith Issued: January 22, 2002

For: PROCESS FOR THE PREPARATION OF 5-

HYDROXY-3-OXOPENTANOIC ACID

DERIVATIVES

PRELIMINARY AMENDMENT

MS REISSUE Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Please amend the application as discussed below.

In The Claims:

Please cancel claims 4, 19 and 20.

Please amend the claims as follows:

1. (Amended) A process for producing a 5-hydroxy-3-oxopentanoic acid derivative of the following formula (IV):

$$OH O CO_2R^1$$

wherein R^1 represents any of an alkyl group of 1 to 12 carbon atoms, an aryl group of 6 to 12 carbon atoms and an aralkyl group of 7 to 12 carbon atoms; and R^2 represents any